

**APPLICANT'S DETAILS**

1. Name and Address of Applicant: \_\_\_\_\_  
 \_\_\_\_\_  
 Street Address  
 \_\_\_\_\_  
 City State ZIP Code
2. Main Contact Name: \_\_\_\_\_  
 Phone: \_\_\_\_\_ E-mail: \_\_\_\_\_
3. Website: \_\_\_\_\_
4. Type of Operations: \_\_\_\_\_
5. Policy Period: from \_\_\_\_\_ to \_\_\_\_\_
6. SIC Code: \_\_\_\_\_

**SALES INFORMATION**

7. Please list the sales figures for the current year:

Year	Total Sales
	\$

8. Please complete the following information for the top 3 products:

Product Names/Type	Total Sales
Product I	\$
Product II	\$
Product III	\$

9. Is coverage Contract or Product Specific? Yes  No

Customer = \_\_\_\_\_ Total Sales = \$ \_\_\_\_\_

10. Are your products manufactured by a third party? Yes  No

11. What percentage of your products become ingredients? \_\_\_\_\_ %

**SUPPLIER INFORMATION**

12. Please indicate the percentage of your suppliers which are domestic, Asian, and Latin American:

Domestic = \_\_\_\_\_ Asian = \_\_\_\_\_ Latin American = \_\_\_\_\_

13. Do you audit your third party suppliers? Yes  No  N/A

14. Are you held harmless by your suppliers in a contract or a purchase order? Yes  No  N/A

**QUALITY CONTROL & TESTING**

15. Do you have a HACCP (Hazard Analysis Critical Control Point) Plan in place?

Yes  No  N/A

16. Do you have SSOP's (Sanitation Standard Operating Procedures) or GMP's (Good Manufacturing Practices) in place?

Yes  No

17. Do you conduct testing on your products? Yes  No

**RECALL PREPAREDNESS & TRACEABILITY**

18. Does the company have a Recall Plan in place? Yes  No

19. Do you utilize a batch coding "traceability" system? Yes  No

**LOSS INFORMATION**

20. Have you, your premises, products or processes been the subject of complaints made by any regulatory body, over the past 10 years? Yes  No

If yes, please provide details: \_\_\_\_\_

21. In the past 10 years have you deployed a stock recovery, market withdrawal of product recall of any of your?

Yes  No

If yes, please provide details: \_\_\_\_\_

**LIMITS & SELF INSURED RETENTION**

Limits of Insurance Requested:

- \$25,000
- \$50,000
- \$100,000
- \$250,000
- \$500,000
- \$1,000,000

Self-Insured Retention Requested:

- \$2,500
- \$5,000
- \$10,000
- \$25,000
- \$50,000
- \$100,000
- \$250,000

**COVERAGE**

- Basic Package (Base wording, Replacement Costs, Adverse Publicity)
- Standard Package (Base wording, Replacement Costs, Adverse Publicity, Loss of Profit, Rehabilitation Expense, Extra Expense, Extortion Costs)
- Enhanced Package (Base wording, Replacement Costs, Adverse Publicity, Loss of Profit, Rehabilitation Expense, Extra Expense, Extortion Costs, Consequential Damages)

**DECLARATIONS**

I declare that the statements and particulars in this application are true and that no material facts have been misstated or suppressed after enquiry. I agree that this application, together with any other information supplied shall form the basis of any contract of insurance effected thereon. I undertake to inform the Insurers of any material alteration to those facts occurring before completion of the contract of insurance. A material fact is one which would influence the acceptance or assessment of the risk.

I certify that I have read and understand the applicable fraud warning set forth below:

**ANY PERSON WHO KNOWINGLY AND WITH INTENT TO DEFRAUD ANY INSURANCE COMPANY OR ANOTHER PERSON FILES AN APPLICATION FOR INSURANCE OR STATEMENT OF CLAIM CONTAINING ANY MATERIALLY FALSE INFORMATION, OR CONCEALS FOR THE PURPOSE OF MISLEADING INFORMATION CONCERNING ANY FACT MATERIAL THERETO, COMMITS A FRAUDULENT INSURANCE ACT, WHICH IS A CRIME AND SUBJECTS THE PERSON TO CRIMINAL AND [NY: SUBSTANTIAL] CIVIL PENALTIES. (Not applicable in CO, DC, FL, HI, MA, MD, NE, OH, OK, OR, VT or WA – see Additional Fraud Notices attached hereto for these States). INSURANCE BENEFITS MAY ALSO BE DENIED.**

Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Position: \_\_\_\_\_

## **FRAUD WARNING STATEMENTS**

**NOTICE TO ALABAMA APPLICANTS:** Any person who knowingly presents a false or fraudulent claim for payment of a loss or benefit or knowingly presents false information in an application for insurance is guilty of a crime and may be subject to restitution fines or confinement in prison, or any combination thereof.

**NOTICE TO ARKANSAS, LOUISIANA AND WEST VIRGINIA APPLICANTS:** Any person who knowingly presents a false or fraudulent claim for payment of a loss or benefit or knowingly presents false information in an application for insurance is guilty of a crime and may be subject to fines and confinement in prison.

**NOTICE TO COLORADO APPLICANTS:** It is unlawful to knowingly provide false, incomplete, or misleading facts or information to an insurance company for the purpose of defrauding or attempting to defraud the company. Penalties may include imprisonment, fines, denial of insurance, and civil damages. Any insurance company or agent of an insurance company who knowingly provides false, incomplete, or misleading facts or information to a policyholder or claimant for the purpose of defrauding or attempting to defraud the policyholder or claimant with regard to a settlement or award payable from insurance proceeds shall be reported to the Colorado Division of Insurance within the Department of Regulatory Agencies.

**NOTICE TO DISTRICT OF COLUMBIA APPLICANTS:** WARNING: It is a crime to provide false or misleading information to an insurer for the purpose of defrauding the insurer or any other person. Penalties include imprisonment and/or fines. In addition, an insurer may deny insurance benefits if false information materially related to a claim was provided by the applicant.

**NOTICE TO FLORIDA APPLICANTS:** Any person who knowingly and with intent to injure, defraud or deceive any insurer files a statement of claim or an application containing any false, incomplete or misleading information is guilty of a felony of the third degree.

**NOTICE TO KENTUCKY APPLICANTS:** Any person who knowingly and with intent to defraud any insurance company or other person files an application for insurance containing any materially false information or conceals, for the purpose of misleading, information concerning any fact material thereto commits a fraudulent insurance act, which is a crime.

**NOTICE TO MAINE APPLICANTS:** It is a crime to knowingly provide false, incomplete or misleading information to an insurance company for the purpose of defrauding the company. Penalties may include imprisonment, fines or a denial of insurance benefits.

**NOTICE TO MARYLAND APPLICANTS:** Any person who knowingly or willfully presents a false or fraudulent claim for payment of a loss or benefit or who knowingly or willfully presents false information in an application for insurance is guilty of a crime and may be subject to fines and confinement in prison.

**NOTICE TO MINNESOTA APPLICANTS:** A person who submits an application or files a claim with intent to defraud or helps commit a fraud against an insurer is guilty of a crime.

**NOTICE TO NEW JERSEY APPLICANTS:** Any person who includes any false or misleading information on an application for an insurance policy is subject to criminal and civil penalties.

**NOTICE TO NEW MEXICO APPLICANTS:** ANY PERSON WHO KNOWINGLY PRESENTS A FALSE OR FRAUDULENT CLAIM FOR PAYMENT OF A LOSS OR BENEFIT OR KNOWINGLY PRESENTS FALSE INFORMATION IN AN APPLICATION FOR INSURANCE IS GUILTY OF A CRIME AND MAY BE SUBJECT TO CIVIL FINES AND CRIMINAL PENALTIES.

**NOTICE TO NEW YORK APPLICANTS:** Any person who knowingly and with intent to defraud any insurance company or other person files an application for insurance or statement of claim containing any materially false information, or conceals for the purpose of misleading, information concerning any fact material thereto, commits a fraudulent insurance act, which is a crime, and shall also be subject to a civil penalty not to exceed five thousand dollars and the stated value of the claim for each such violation.

**NOTICE TO OHIO APPLICANTS:** Any person who, with intent to defraud or knowing that he is facilitating a fraud against an insurer, submits an application or files a claim containing a false or deceptive statement is guilty of insurance fraud.

**NOTICE TO OKLAHOMA APPLICANTS:** WARNING: Any person who knowingly, and with intent to injure, defraud or deceive any insurer, makes any claim for the proceeds of an insurance policy containing any false, incomplete or misleading information is guilty of a felony.

**NOTICE TO OREGON APPLICANTS:** Any person who knowingly and with intent to defraud any insurance company or another person, files an application for insurance or statement of claim containing any materially false information, or conceals information for the purpose of misleading, commits a fraudulent insurance act, which may be a crime and may subject such person to criminal and civil penalties.

**NOTICE TO PENNSYLVANIA APPLICANTS:** Any person who knowingly and with intent to defraud any insurance company or other person files an application for insurance or statement of claim containing any materially false information or conceals for the purpose of misleading, information concerning any fact material thereto commits a fraudulent insurance act, which is a crime and subjects such person to criminal and civil penalties.

**NOTICE TO TENNESSEE, VIRGINIA AND WASHINGTON APPLICANTS:** It is a crime to knowingly provide false, incomplete or misleading information to an insurance company for the purpose of defrauding the company. Penalties include imprisonment, fines and denial of insurance benefits.

### **NOTICE TO ALL OTHER APPLICANTS:**

**ANY PERSON WHO KNOWINGLY AND WITH INTENT TO DEFRAUD ANY INSURANCE COMPANY OR ANOTHER PERSON, FILES AN APPLICATION FOR INSURANCE OR STATEMENT OF CLAIM CONTAINING ANY MATERIALLY FALSE INFORMATION, OR CONCEALS INFORMATION FOR THE PURPOSE OF MISLEADING, COMMITS A FRAUDULENT INSURANCE ACT, WHICH IS A CRIME AND MAY SUBJECT SUCH PERSON TO CRIMINAL AND CIVIL PENALTIES.**